

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING SENT VIA FACSIMILE TO: COMMISSIONER OF PATENTS AND TRADEMARKS, P.O. Box 1450, Alexandria, VA 22313, on August 19, 2004, Sent by: Teri McDonald


8/19/04

Signature &amp; Date

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<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	
In re application of: Nobuo Okazaki, et al.	Date: August 19, 2004
Serial Number: 10/604,202	Examiner: Paul M. Gurzo
Filed: 7/1/03	Group Art Unit: 2881
Title: APPARATUS AND METHOD FOR FORMING ALIGNMENT LAYERS	IBM Corporation D/18G, B/300, Zip 482 2070 Route 52 Hopewell Junction, NY 12533-6531

#### **AMENDMENT**

Commissioner of Patents and Trademarks  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated July 13, 2004, the following response is being submitted to place the above-identified application in *prima facie* condition for allowance.

Please amend the above identified application as follows:

10/604,202

1

JP9200201141JS1

PAGE 3/9 \* RCVD AT 8/19/2004 2:02:50 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/0 \* DNIS:8729306 \* CSID:845 892 6363 \* DURATION (mm:ss):02:32

## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Nobuo Okazaki, et al.

Docket No.

JP920020114US1

Serial No.  
10/604,202Filing Date  
7/1/03Examiner  
Paul M. GurzoGroup Art Unit  
2881

Invention: APPARATUS AND METHOD FOR FORMING ALIGNMENT LAYERS

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	14 -	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- No additional fee is required for amendment.
- Please charge Deposit Account No. 09-0458 in the amount of
- A check in the amount of to cover the filing fee is enclosed.
- The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.
- Any additional filing fees required under 37 C.F.R. 1.16.
- Any patent application processing fees under 37 CFR 1.17.

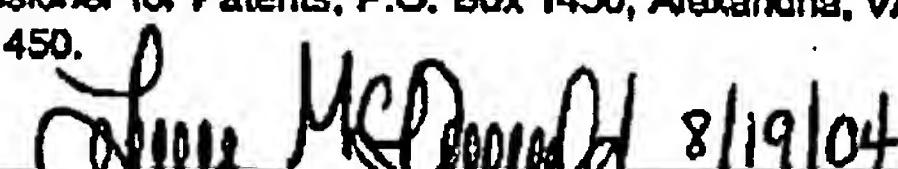


Signature

Dated: AUGUST 19, 2004

H DANIEL SCHNURMANN  
AGENT FOR APPLICANTS  
REG. NO.: 35,791

I certify that this document and fee is being sent via facsimile on August 19, 2004 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



8/19/04

Signature of Person Mailing Correspondence

TERI McDONALD

Typed or Printed Name of Person Mailing Correspondence

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*Teri McDonald* 8/19/04

DOCUMENT (S) FAXED:

PAGES ATTACHED: 8

Re Applic of	International Business Machines Corporation
Docket No.	JP920020114US1
Serial No.	10/604,202
Filing Date	7/1/03
Attorney	H. Daniel Schnurmann

Attached: Amendment Transmittal Form  
Amendment

**PLEASE DELIVER TO:**  
**EXAMINER:** Paul M. Gurzo  
**ART UNIT:**  
**PHONE NO:**  
**FAX NO:** 703-872-9306

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INTERNATIONAL BUSINESS MACHINES CORPORATION

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